



ACCOUNT OPENING FORM (INDIVIDUAL)

*PLEASE FILL ALL FIELDS

PERSONAL INFORMATION

Date

Full Name of Applicant
(Surname) (First Name) (Middle Name)

Affix
Passport
Photograph
here

Mother's Maiden Name

Gender: Male Female Marital Status

Date of Birth Place of Birth

Nationality Occupation

Next of Kin Relationship

Next of Kin Phone Number Next of Kin Contact Address

EMPLOYMENT DETAILS

Employed Self Employed Unemployed Retired Other (specify)

Employer Employer's telephone no.

Employer/Employment Address

Source of Funds Salary Personal Saving Business Income Investment Sale Property or Others (specify)

Gross Annual Income details (Please tick applicable box)

Below ₦1M ₦1M - ₦5M ₦5M - ₦10M ₦10M - ₦25M ₦25M & above

CONTACT DETAILS

Residential Address

Postal Address

Mobile no. Email Address

BANKING DETAILS

Bank

Account name

Account no.

Branch

BVN

FOR OFFICIAL USE ONLY

DOCUMENT	RECIEVED
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Completed Form	
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Passport Photographs(s)	
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Identification one of National ID Card, Drivers' License or International Passport	
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Proof of home address (photocopy of utility bill or bank statement)	
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I hereby declare that the details furnished above are true and correct to the best of my knowledge, information and belief and I undertake to inform DLM Asset Management Limited of any changes therein, immediately. In the event that any of the above information is found to be false or untrue or misleading or misrepresented, I am aware that I may be held liable for it. I hereby consent to DLM Asset Management Limited sharing any of the information furnished in this form as it deems appropriate and as may be required by regulatory authorities.

Customer signature

Account officer

Signature

Compliance Officer

Signature

NOTE: WE DO NOT ACCEPT CASH

DEALING MANDATE

I/We, the undersigned, hereinafter referred to as "the Client") hereby request and authorise you, DLM Asset Management Limited (hereinafter referred to as "DLMAM"), to effect Transactions, on the Client's behalf on the following terms and conditions:

1. DLMAM is hereby authorised to purchase and/or sell securities for the client's account only on the Client's prior instructions. Such instructions shall, accordingly, be obtained from the Client in respect of each purchase and/or sale transaction (or series of transactions) effected by DLMAM. DLMAM is also authorised and empowered to perform such acts as are actually necessary to give effect to these instructions.
2. We understand that United Bank for Africa Plc (Global Investor Services) will act as our Custodian for the safekeeping of cash and securities. Accordingly, DLMAM shall not maintain custody of my/our cash or securities. All cash payments by me/us for the purpose of effecting transactions shall be made directly to the Custodial Account
3. I/We hereby take full responsibility for any loss occasioned by paying cash directly through account officers without any recourse to DLMAM
4. In effecting transactions on the Client's behalf:
 - 4.1. DLMAM shall procure the immediate payment by the Custodian to the Client or to the Clients' order, all cash proceeds received by the Custodian in respect of, or arising from, the execution of the Client's instructions in immediately available freely transferable funds.
 - 4.2. DLMAM and/or the Custodian shall be entitled to charge the client for expenses incurred in the process of executing transactions for the Client. DLM Asset Management Ltd and/or the Custodian shall be entitled to debit such applicable charges from the Client's account prior to remitting cash.
 - 4.3. DLMAM is hereby authorized to have a personal interest in any transaction for the purchase and/or sale of the Client's securities, which personal interest may conflict with those of the Client. Unless requested by the Client in writing, DLMAM is not required to specifically disclose such interest to the Client prior to entering into such transactions.
 - 4.4. DLMAM is hereby requested and authorised at its sole discretion to provide any or all services, and to enter into and/or effect any and all transactions, via such methods of communication, including electronic means as DLMAM shall select at the its sole discretion on such terms and subject to such conditions as DLMAM shall specify from time to time.
5. I/We hereby agree that DLMAM shall be assisted in the discharge of its anti-money laundering responsibilities by me/us providing such information and evidence as DLMAM may request from time to time in this regard.
6. I/We agree that DLMAM shall have no responsibility for and shall be indemnified by me/us in full on demand against any loss, damage or other liability suffered or incurred by DLMAM in connection with any instruction or request from us, believed by DLMAM in good faith to have been given in accordance with this Mandate.
7. This Mandate shall only be cancelled by notice in writing or delivered by hand or sent by registered post by one party to the other party to the applicable address and such cancellation shall only become effective when the other party has received such notice.
8. In this Mandate the expression "Transaction" means and includes:
 - 8.1. any purchase and sale of or other dealing in commercial or government paper, bonds, certificates of deposit, equities and other securities on behalf of us; and
 - 8.2. such other transactions effected on my/our behalf or entered with me/us.
9. In the case of liquidation before maturity, the clients shall forfeit the interest accrued from the point of investment.

10. For its services, DLMAM shall earn 2% per annum of the Asset under Management at the point of purchase of my investment(s) (the "Fee"). The Fee shall be net of any charges applicable to my account and shall be debited directly from by account by DLMAM (or the Custodian where applicable).
11. DLMAM reserves the right to review this dealing mandate from time to time to reflect market realities
12. This Mandate shall be governed by Nigerian law.
I have read this Mandate or have had my solicitor review it and fully understand and acknowledge the terms herein contained.

ACCOUNT AUTHORISATION

TRANSACTIONS AUTHORISATION REQUIREMENTS: Please tick any of the following to confirm our authorisation for transactions on the account.

- By telephone
- By email
- By written authorisation
- Others, please specify

Please note that if no instruction restrictions are specified, DLMAM may, at its sole discretion, accept and act on any instruction or the purported instructions issued by you however given or originated including fax, telex, or any electronic system used by DLMAM from time to time. DLMAM may also, at its sole discretion, require at any time instructions from you.

DEED OF INDEMNITY – FOR ELECTRONIC INSTRUCTIONS

This deed of indemnity is made in favour of DLM Asset Management Limited (hereinafter referred to as "DLMAM" which expression shall where the context so permits include its successors-in-title and assigns).

WHEREAS:

1. The Client has opened an investment account(s) with DLMAM, and desires to issue investment instructions and other transaction documents to DLMAM, as may be required from time to time.
2. The Client hereby requests and DLMAM hereby agrees to act upon internet, electronic mails and scanned copies of documentation for banking facilities/transactions with DLMAM and for any instruction in respect of the account(s) and its operations as if same were originals and/or hard copies provided that the documentation and instructions are issued in line with the Client's mandate with DLMAM.

IT IS HEREBY AGREED AS FOLLOWS:

In consideration of DLMAM agreeing to accept internet, electronic mails and scanned copies of documentation for banking facilities and any instruction in respect of my investment account(s) and its operations.

I hereby irrevocably and unconditionally agree that all fax, internet, electronic mails and scanned copies of any documentation and/or instructions issued by me in respect of my account(s) or dealings with DLMAM shall be binding and enforceable against me. I further agree to fully indemnify DLMAM against any proceedings, claims, expenses and liabilities whatsoever which may be taken or made against or incurred by DLMAM by reason of DLMAM accepting the fax, internet, electronic mails, scanned copies of the documentation and/or instructions.

I further confirm that DLMAM may set-off any such expenses/cost incurred by it in respect of my obligations herein against any of my investment account(s) with DLMAM.

I agree to the above terms and conditions

Name: _____

Signature: _____