

DLM FIXED INCOME ACCOUNT OPENING FORM

PLEASE FILL ALL FIELDS



PRODUCT INFORMATION

DLM FIXED INCOME FUND

EXISTING CLIENT YES NO

AMOUNT (₦) (that you want to invest) _____ in word _____

CUSTOMER INFORMATION

FULL NAME

Title _____ Surname _____

First Name _____ Other Names _____

JOINT APPLICANTS

Surname _____ Other Names _____

IF APPLICANT IS A MINOR, PLEASE WRITE NAME OF SPONSOR BELOW

Title _____ Surname _____

First Name _____ Other Names _____

RESIDENTIAL ADDRESS:

State _____ Country _____

Mobile Number _____ Email Address _____

Date of Birth _____ Occupation _____

Mother's Maiden Name _____ Type of ID _____ ID Number _____

Next of Kin _____

Next of Kin's Address _____

Next of Kin's Phone No _____ Email Address _____ Relationship _____

Preferred mode of communication Email Telephone Letter Visit

BANK ACCOUNT INFORMATION

Account Name _____ Account Number _____

Bank Name _____ BVN Number _____

NATIONALITY Nigerian If not Nigerian, please state Nationality _____

Do you hold a senior public office (in or outside Nigeria), or have a close business or personal connection to such a person?

A person who holds a senior public office includes persons who hold, or have held, political offices such as senior government officials, members of the judiciary, senior executives of government-owned companies, members of royal families, etc. If yes, please state the source of the assets or funds to be invested.

DLM Asset Management Limited

Lagos Office

66-68 Alexander Avenue, Ikoyi Lagos
Tel: +234 01 515 115, +234 01 515 1116
Email: asset@dmlm.group, Website: www.dlm.group

Abuja Office

The CLAN Place, Ground Floor, (WING B)
Plot 1458, Tigris Crescent,
Maitama, FCT Abuja

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DECLARATION BY APPLICANTS

- I am at least 18 years old.
- I have attached a cheque, bank draft, or evidence of fund transfer payment made out to DLM Asset Management in the name of my DLM Fixed Income Fund.
- I/We understand that as with all stock market investment, the prices of Mutual Funds (DLM Fixed Income Fund) invested in quoted securities may go down or up. I understand that past performance is not an indication of future performance.
- I agree to comply with the minimum investment period specified for any of the Mutual Funds, failing which I accept any losses, charges or costs that may arise at the point of redemption of my investment.
- I agree that my e-statement can be sent at my risk to the correspondence address/email address I have provided.
- I declare that the above information is true

INDEMNITY FOR REDEMPTION REQUESTS/INSTRUCTIONS SENT BY DLM FIXED INCOME FUND CLIENTS THROUGH ELECTRONIC MAIL

- I hereby authorize the Fund Manager to honor redemption requests and instructions sent by electronic mail in respect of my investment holdings in the Fund and in this regard confirm the email address captured as designated one for this purpose.
- In consideration of the Fund Manager honoring my requests and instructions sent by electronic mail, I hereby undertake to indemnify the Fund Manager against any loss, liabilities, damages, claims, proceedings, cost or expenses of whatever nature that may be incurred by the Fund Manager as a result of any issue arising from the honoring of my redemption requests and instructions sent by electronic mail from my designated email address stated above.

UNIT HOLDER'S SIGNATURE	DATE	UNIT HOLDER'S SIGNATURE						
APPLICATION CHECKLIST								
<input type="checkbox"/> Completed Application Form		<input type="checkbox"/> Proof of Address (Utility Bill, Tenancy Agreement, etc.)						
<input type="checkbox"/> Means of Identification (Driver's License, Int'l Passport or National ID card)		<input type="checkbox"/> Recent Passport Photograph						
<input type="checkbox"/> Birth Certificate (if applicant is a minor)		<input type="checkbox"/> Evidence of Initial Deposit/Transfer (copy)						
NOTE:								
<input checked="" type="checkbox"/> Kindly be advised that SEC regulations require that we return remitted funds back to the originating account if account opening documentation is not completed within two weeks of receipt of funds by DLM Asset Management.		<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th colspan="2">FOR FUND MANAGERS ONLY</th></tr><tr><th style="width: 50%;">Offer Price</th><th style="width: 50%;">Units Allocated</th></tr></thead><tbody><tr><td style="height: 20px;"></td><td></td></tr></tbody></table>	FOR FUND MANAGERS ONLY		Offer Price	Units Allocated		
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