

AGBOOLA, HAUWAWU AHUOYIZA

22, Tijani Salako Street, Bucknor Estate, Ejigbo-Isolo, Lagos
T: +234 810 304 8532 E: hauwa.agboola@gmail.com.

SUMMARY

Detail-oriented, conscientious & organized legal practitioner; who thrives in dynamic environments, perseveres even in the most elusive & trying of cases and collaborates well with clients of varying socioeconomic & cultural backgrounds.

EXPERIENCE

CHIEF COMPLIANCE OFFICER/HEAD OF LEGAL/DATA PROTECTION OFFICER, TANGERINE LIFE INSURANCE LIMITED (FORMERLY METROPOLITAN LIFE INSURANCE LIMITED) SUBSIDIARY OF MOMENTUM METROPOLITAN HOLDINGS LIMITED, SOUTH AFRICA

OCT. 2018 TILL DATE

- Provide leadership and ensure the efficient and effective management of resources in the Compliance and Legal department.
- Appointed as NAICOM Relationship Officer to interface between the company and the Regulator.
- Created a dynamic compliance dashboard/universe that gives a view of all the internal and external compliance requirements expected of Tangerine Life Insurance Limited.
- Present Governance, Legal and Compliance and AML Reports to Management and appropriate Committees during Board Committee meetings.
- Support the Company Secretaries to ensure that service parameters are met and in a timely fashion.
- Review progress on outstanding litigations, liaise with and manage the Company's external solicitors.
- Responsible for ensuring that all monthly, quarterly and yearly returns are filed with the Nigerian Insurance Regulator (NAICOM) and other regulatory fashion in a timely fashion.
- Designated Money Laundering Reporting Officer to ensure strict compliance with all AML-CFT regulations including reporting CTR's and STR's to the Nigerian Financial Intelligence Unit through the Sanctions Desk Officer.
- Conduct regular in-house AML-CFT trainings for all staff.

- Currently designated as the Data Protection Officer (DPO) in line with the provisions of the recently enacted National Data Protection Regulations. Part of my duties upon appointment was to draft a Data Protection Policy for the company and to engage with Ernst & Young in assisting the company through its compliance journey with regards to the regulations.
- Participated in the review of the Company's business processes for all departments in order to streamline and improve on service delivery.
- Review and draft contracts bordering on service level agreements, non- disclosures, cross selling insurance products, leases etc. and ensuring that they are in compliance with all statutory and legal requirements.
- The company recently changed name to Tangerine Life Insurance Limited after an acquisition by Verod Capital Management, Nigeria.
- Oversaw the post-acquisition regulatory approvals with regards to name change, license change, changes in board membership etc.

LEGAL SERVICES AND REGULATORY COMPLIANCE MANAGER, LETSHEGO MICROFINANCE BANK LIMITED, (SUBSIDIARY OF LETSHEGO HOLDINGS LIMITED, BOTSWANA)

FEBRUARY 2016 – SEPT. 2018

- Appointed as Company Secretary Letshego MFB Ltd.
- Provided leadership and ensured the efficient and effective management of staff and resources in the legal department.
- Oversaw post divestment regulatory compliance with regards to the divestment of the company from FBN Holdings Plc. including taking steps to change company name from FBN Microfinance Bank to Letshego Microfinance Bank Limited.
- Participated in the review of the Group's Policies as it applied to the Bank to ensure compliance with statutory and legal requirements in Nigeria.
- Maintained statutory books and ensured statutory filings of the company in line with the provisions of the Companies and Allied Matters Act.
- Organized, prepared agendas and minutes of board, board committees and annual general meetings.
- Participated in preparing the company's annual reports and ensure filings with regulatory authorities like the Central Bank of Nigeria, Corporate Affairs Commission etc.
- Prepared reports for Senior Management, the Group Head of Legal and Compliance of Letshego Holdings Limited, Botswana and external regulatory bodies as appropriate.

- Oversaw verification of properties used as collaterals by Bank customers from conducting due diligence, valuation; to perfection of titles.
- Oversaw KYC verification of the Bank's corporate customers at the Corporate Affairs Commission.
- Reviewed progress on outstanding litigations, liaised with and managed the Bank's external solicitors.
- Reviewed and drafted contracts and agreements for the bank and ensured that they were in compliance with all statutory and legal requirements. Examples were during the Bank's migration to a new core banking system; the Bank's partnership with Lafarge Holcim Plc for affordable housing solutions and another partnership with the Nigerian Agricultural Insurance Corporation to underwrite insurance for the bank's agricultural loan customers.
- Developed in conjunction with relevant departments, control systems to prevent or deal with violations of legal guidelines and internal policies.
- Reviewed and provided legal advice on legal documents tendered to the Bank and on all other issues as it affected the Bank's structure, and operations.
- Provided, interpreted and disseminated appropriate legal information to staff of the Bank in trainings or otherwise.
- Team member of the Banks' collaborative projects with Cellulant Nigeria Limited and Diamond Bank Plc.
- Member of the Bank's Disciplinary and Arrears Management Committee.

HEAD, LEGAL & LOAN RECOVERY, FBN MICROFINANCE BANK LIMITED (SUBSIDIARY OF FBN HOLDINGS PLC.)

DEC. 2014 - FEB. 2016

- Provided leadership and ensured the efficient and effective management of staff and resources in the legal and loan recovery department.
- Reviewed and provided legal advice on legal documents tendered to the Bank and on issues as it affected the Bank's structure and operations.
- Reviewed progress on outstanding litigations, liaised with and managed the Bank's external solicitors.
- Reviewed and drafted contracts and agreements for the bank and ensured that they are in compliance with all statutory and legal requirements.
- Liaised with branch staffs and coordinated negotiations with debtors on payment plans in cases of loan repayment delinquencies.

- Liaised with law enforcement agents and external recovery agents in loan recoveries which could not be handled internally.
- Provided and interpreted legal information, conducted trainings and disseminated appropriate legal requirements to staff.
- Actively participated in the due diligence activities during the divestment process of the Bank from FBN Holdings Ltd.

**ASSOCIATE, ADEBUSOLA MUMUNI & ASSOCIATES.
JAN. 2011 – DEC. 2014**

- Counseled clients on various legal matters ranging from business transactions, claim liability, advisability of prosecuting or defending lawsuits or legal rights and prosecution.
- Prepared legal briefs & opinions and examined legal data to determine the advisability of defending or prosecuting lawsuits
- Seconded to manage the compliance desk of an investment Advisory Firm, (W.O. Capital) upon participating in its incorporation and registration with the Securities and Exchange Commission of Nigeria until the appointment of a substantive compliance manager.
- Represented Clients in court and before government agencies
- Reviewed and negotiated contractual documents and settlement of civil disputes
- Analyzed the probable outcomes of cases using knowledge of legal precedents and appeared for settlement conferences
- Prepared and drafted legal documents such as wills, deeds, leases and contracts
- Specialized in commercial real estate transactions.
- Handled various recoveries for Victorybelt Energy Services in conjunction with SCID-Panti & Nigerian Police Force Area B Apapa.

CORPORATE SECRETARY/ LEGAL COUNSEL, METROSEC LIMITED/ STERLING CHAMBERS. JUNE 2008 - NOV. 2010.

- Part of the team that conducted Legal Due Diligence on the mortgage subsidiary of a commercial bank (Bank PHB now KeyStone Bank) in Nigeria that influenced a major business decision.
- Drafted routine minutes of steering committee meetings, reviewed and negotiated various agreements, rendered legal opinions and analyzed policies, and procedures pertaining to the establishment and operation of a mega shopping complex - The Arena at Oshodi, Lagos.

- Assisted in maintaining statutory books of companies, maintained register of shareholders and monitored changes in share ownership of companies under the firm's retainer
- Organized, prepared agendas for and took minutes of board and annual general meetings of various companies under the firm's retainer.
- Dealt with correspondences, collated information, wrote reports and ensured that decisions made were communicated to relevant stakeholders in companies
- Liaised with external regulators and advisers such as auditors and tax consultants
- Legal representative in conjunction with the Special Fraud Unit of the Nigerian Police Force- on recovery for Promasidor Nigeria Limited.

**INTERN (NATIONAL YOUTH SERVICE CORPS), FEDERAL AIRPORTS AUTHORITY (FAAN), LAGOS.
OCT 2006 – NOV 2007.**

- Assisted in rendering legal advice to other units within FAAN
- Assisted in drafting concession agreements and memoranda of understanding (MOU's) during the concessioning of the Murtala Muhammed Airport 2 to Bi-Courtney Limited.
- Assisted in conducting legal research and rendering legal opinions on various matters
- Attended court on various civil and criminal proceedings and partnered with retained solicitors

EDUCATION

- The Chartered Institute of Bankers of Nigeria; Microfinance Certification Programme (Microfinance Certificate in view)
- Institute of Chartered Secretaries and Administrators of Nigeria (Associateship in view)
- Certificate in Entrepreneurial Management, Enterprise Development Centre (Pan Atlantic University), Victoria Island, Lagos. 2013
- Barrister at Law (B.L.), Nigerian Law School, Bwari-Abuja, Nigeria. 2006
- Bachelor of Laws (LLB) Civil Law. University of Lagos, Akoka, Lagos, Nigeria. 2005